

# User Control Mechanisms for Privacy Protection Should Go Hand in Hand with Privacy-Consequence Information: The Case of Smartphone Apps

W3C Workshop on Privacy and User-Centric Controls  
20–21 November 2014, Berlin, Germany

Dipl.-Inf. Gökhan Bal, Prof. Dr. Kai Rannenberg  
Deutsche Telekom Chair of Mobile Business & Multilateral Security  
Goethe University Frankfurt  
[www.m-chair.de](http://www.m-chair.de)



# **1 MOTIVATION**

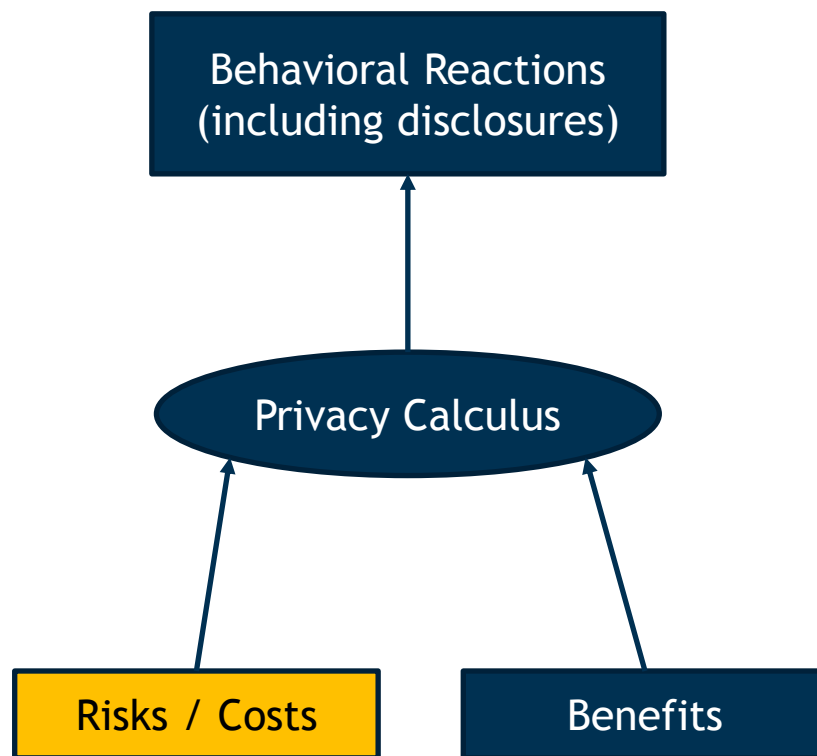
# 1. Motivation

## Two Perspectives on Privacy Protection

Privacy Protection as a Process (Brunk 2005)



Decision-making as a calculus of risks and benefits (Culnan and Armstrong 1999)



*Risk awareness is key!*

- Benefits are what drive users towards service use.
- Privacy thoughts most often are only a „supporting actor“ in users' decision-making.
- More effective privacy-risk communication is needed to help users understand the consequences of behavior.
- **Call: integrate (privacy-)consequence information into user-control mechanisms.**

# **2 THE CASE OF SMARTPHONE APPS**

## 2 The Case of Smartphone Apps

### Privacy Risks of Smartphone App Usage

- Apps are useful and provide utility.
- APIs (e.g. geolocation API) as
  - ...enabler of utility.
  - ...threat to user privacy.
- Negative examples: „Path“ & „Brightest Flashlight“
- Lack of risk transparency and “hidden” information flows lead to a bias in users’ risk perceptions.
- Explicitness regarding consequences can help (Laughery et al. 1993).

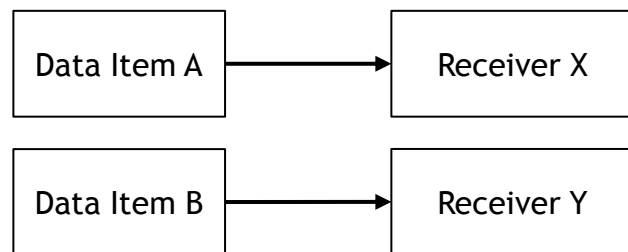
## 2 The Case of Smartphone Apps

### Privacy Risks of Smartphone App Usage

#### First-order privacy risk:

- apps can access a multiplicity of sensitive resources (enabled to provide utility).
- most apps have Internet access.
- information flows often without notice.

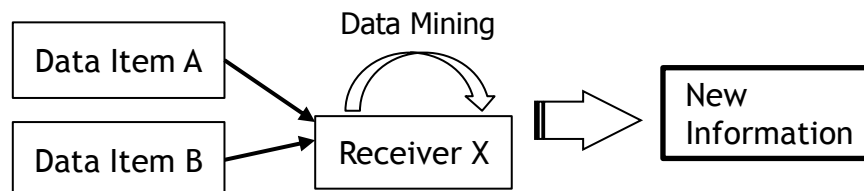
→ **risk: leakage of sensitive data**<sup>1</sup>.



#### Second-order privacy risk:

- Profiling: aggregated smartphone data can be used to generate meaningful information about the user (predict user traits, personality traits, movement patterns)<sup>2</sup>

→ **risk: implicit revelations of private information due to data-aggregation potentials.**



<sup>1</sup>e.g., Egele et al. 2011; Enck et al. 2010

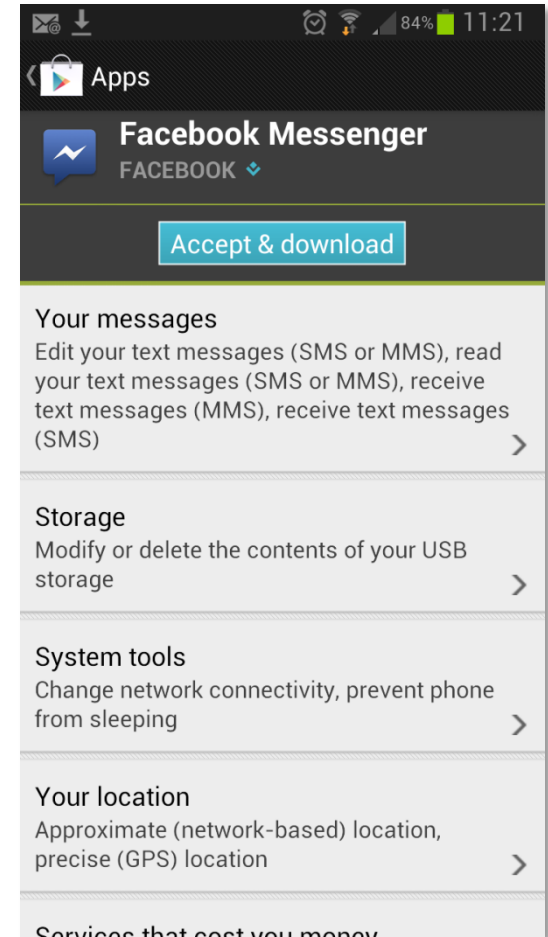
<sup>2</sup>e.g., Kwapisz et al. 2010; Weiss and Lockhart 2011; Chittaranjan et al. 2011; Min et al. 2013; González et al. 2008; Phithakkitnukoon et al. 2010.

## 2 The Case of Smartphone Apps

### Current Privacy-Risk Communication

#### Current privacy risk information is...

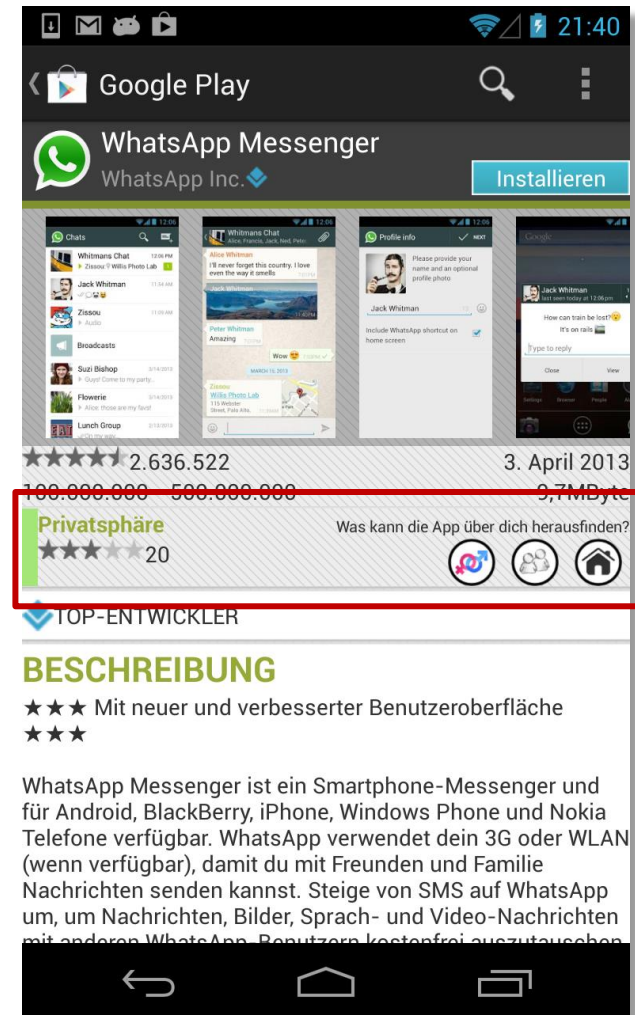
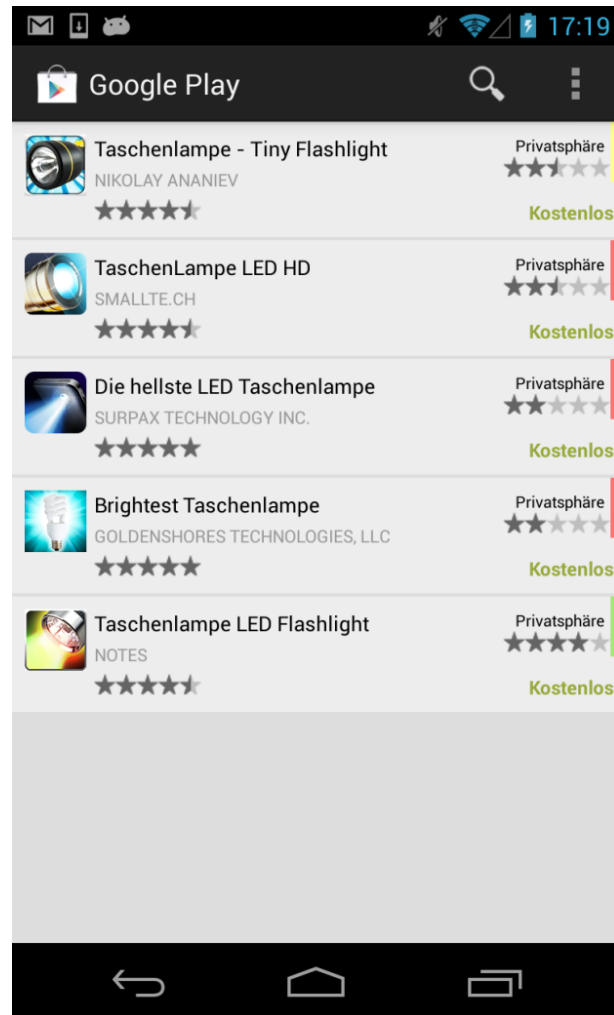
- ... static,
- ... coarse-grained & technical,
- ... timed inappropriately,
- ... ignored largely,
- ... does not support informed decision-making.





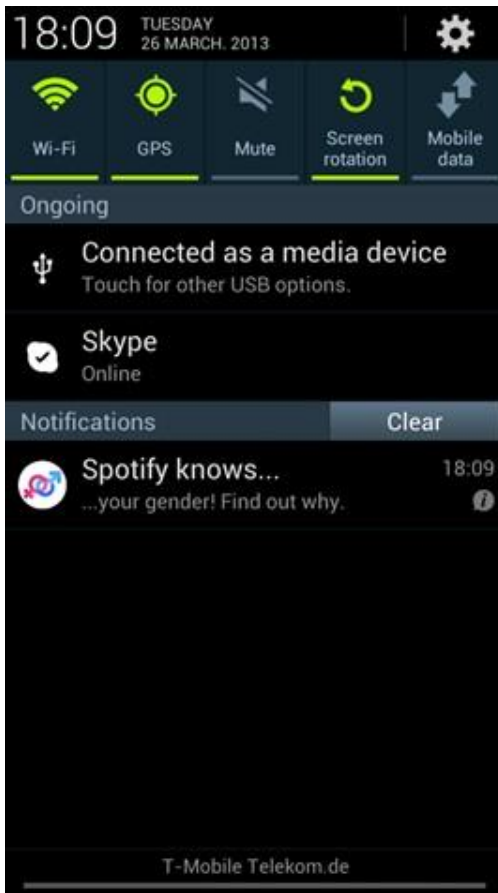
# 2 The Case of Smartphone Apps

## Suggested New Approaches (1/2): Google Play Study



# 2 The Case of Smartphone Apps

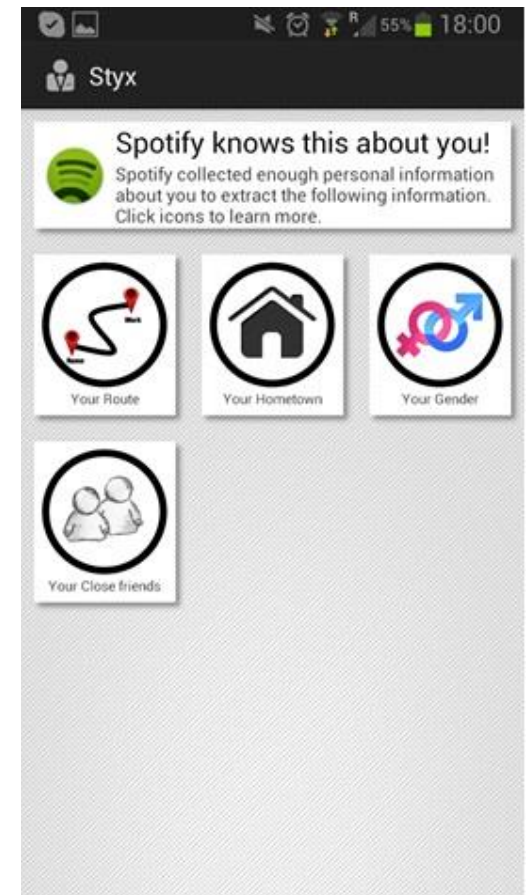
## Suggested New Approaches (2/2): Android Study



Styx Notification



Styx Inference  
Screen

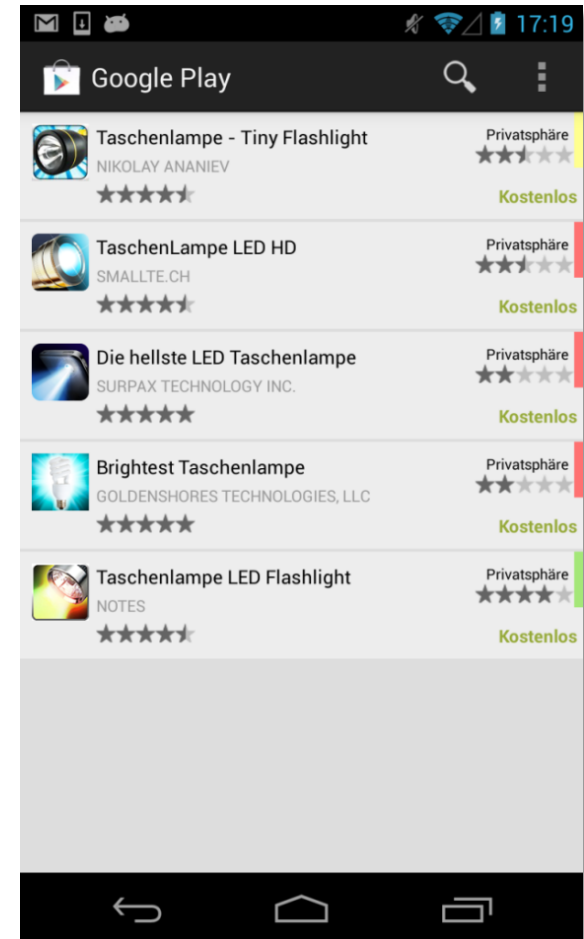


Styx Dashboard

## 2 The Case of Smartphone Apps

### Results of Two User Studies (Summary)

- A consequence-based privacy-risk communication leads to:
  - increased privacy and risk awareness,
  - better comprehension of risks,
  - better comparison of apps,
  - privacy as a stronger decision factor,
  - safer app choices.



# **CHALLENGES & RECOMMENDATIONS**

# 3 Challenges & Recommendations

## Challenges

Challenge	Description
<b>1. Conceptualization of Privacy Consequences</b>	<ul style="list-style-type: none"> <li>• Identification and conceptualization of consequences</li> <li>• Consideration of context, scenario, etc.</li> <li>• Positive vs. negative consequences</li> </ul>
<b>2. Consider functionality and context of data access</b>	<ul style="list-style-type: none"> <li>• Consideration of the purpose of an application (“demand level”)</li> <li>• Context of access (e.g. background information flows vs. active UI)</li> </ul>
<b>3. Monitor data-access behavior of apps</b>	The actual data-access behavior of an app is significantly influencing the privacy intrusiveness of an app (what resources? how frequent? what combinations? interactions with other apps?); TaintDroid as an example (Enck et al. 2010).
<b>4. Consider Privacy Transparency of App Providers</b>	Privacy-related consequences also depend on how the app provider processes personal data; statements from the app provider such in a privacy policy could be used to determine consequences.
<b>5. Automation</b>	Automation of monitoring and risk assessments will positively influence efficiency, effectiveness, scalability, and costs.

# 3 Challenges & Recommendations

## Recommendations

Who?	What?
<b>Smartphone Platform Providers</b>	Mechanisms to keep track of sensitive-information flows; reason about privacy intrusiveness of apps based on data-access behavior; communicate observed behavior to other potential users.
<b>App Marketplaces</b>	Add more useful privacy information about apps, especially about privacy consequences to support decision-making; add privacy rating for apps based on their data-access profiles and purpose of data access; provide developers with standardized ways to explain permission requests.
<b>App Developers</b>	Provide explanations for permission requests (e.g. core functionality, side functionality, advertisements, etc.).
<b>W3C</b>	Support app developers by standardizing transparency mechanisms in Device API use.

# THANK YOU!

Gökhan Bal, Dipl.-Inf.  
Institute of Business Informatics  
Deutsche Telekom Chair of Mobile Business & Multilateral Security  
Goethe University Frankfurt  
Grüneburgplatz 1, 60629 Frankfurt am Main, Germany  
Tel: +49(69) 798-34702, Fax: +49(69)798-35004  
Web: <http://www.m-chair.de>

