

# Efficient XML Interchange (EXI) with XML Signature and Encryption: Reuse and Opportunity

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## Content:

- What is EXI?
- XML Signature and Encryption
  - EXI group position
  - SDW position

# Efficient XML Interchange

- XML Infoset encoding
- Encoded for size and processing efficiency – broadly competitive (XML-PER)
- Binary blobs (a la b64), scalars, bit-oriented
- With or without schema-based factoring
- XML fragments for chunking or streaming
- Intended for current and much more broad market
  - Embedded and constrained devices
  - High speed transactions

# Efficient XML Interchange

- Supports self-contained subtrees
  - An element that has no ties to surrounding document
  - Allows efficient copy
  - Ideal for signing and encryption

# EXI Group Position

- Baseline option:
  - XML Signature and Encryption used as is – conversion to XML 1.1, C14N, etc.
- EXI inspired or tailored improvements:
  - EXI-efficient enveloping, C14N, self-containedness
  - Lightweight signing and encryption
    - Fewer features, less processing overhead

# EXI Group Position (2)

- Schema-informed encoding
  - Schema information is used as shared knowledge to gain compactness
    - Information is “Externalized” from data instances
      - Structure
      - Typing
      - Identity / naming / namespaces
      - Values
  - Encoder and receiver need exact same schema
  - Familiar external references signature problem

# SDW Position

- XML Meta Structure Instance (XMS)
  - Shared encoder grammar and table state
  - Something like a “compact schema”
    - Created from any kind of schema language, example, template, or other source
  - Encoded in EXI, sharable at runtime
  - Model: Send XMS, then instances encoded relative to it
  - Signature: Share signed XMS earlier or during transaction

# SDW Position (2)

- Deltas
  - Parents with delta children instances
  - Changes from the parent
  - Alternate model for incremental document evolution with signing
  - High-level and low-level deltas are distinct ideas
  - Includes streaming models of XML fragments

# SDW Position (3)

- EXI does what ASN.1: {BER/PER/DER/XER} do better in an XML-flavored fashion
- Should certificates and other PKCS standards become EXI encoded?
  - No: Deeply embedded, many libraries / certs
  - Yes: smaller code footprint, simpler APIs, faster evolution due to XML flexibility
- Can PKCS instances be made more efficient? Specialized for constrained environments?



# Changes to consider

- Two part signatures: preamble, signature data
  - Enables streaming
- Lightweight signing and encryption
- EXI-optimized
  - Enveloping
  - Signature
  - C14N
- Parent / child
  - Schema / XMS
  - Fragments / Deltas